

#### 1. PURPOSE

This policy outlines VETASSESS' approach to responding to complaints from customers who have applied for a Vocational Education and Training (VET) service.

Our policy adheres to applicable Australian government legal requirements, standards, and guidelines.

#### 2. SCOPE

This policy applies to customers of the following Vocational Education and Training Services including applications for:

- Recognition of Prior Learning (RPL)
- Temporary Skill Shortage (TSS) Program
- Offshore Skills Assessment Program (OSAP)
- Australian Technical Competency Statement (ATCS) Assessments

#### 3. POLICY STATEMENT

- 3.1 The policy is based on the principles of natural justice and procedural fairness. VETASSESS will ensure complaints are recorded, acknowledged, and dealt with fairly, efficiently, and effectively. Any concerns from customers will be dealt with in an equitable and impartial manner, respecting all privacy and confidentiality matters.
- 3.2 Complaints can be made verbally (via telephone or face-to-face) or in writing (email).
- 3.3 Customers can make a complaint regarding any aspect of their experience, including the assessment process and the assessment outcome, VETASSESS staff member, other applicants, VETASSESS facilities including third party facilities, provided the grounds for making the complaint are reasonable.
- 3.4 Complaints will be acknowledged in writing and responded to within 10 business days from the date the complaint was received. In some cases, additional time may be required for more complex complaints.
- 3.5 Where more than 10 business days are required to process and finalise the complaint, the complainant will be informed in writing, including reasons why more than 10 business days are required.
- 3.6 Complaints which highlight recurring issues in process or management of complaints will be analysed for root cause.
- 3.7 All complaints will be reviewed, analysed, and escalated to senior management for attention.
- 3.8 The process for making a complaint is outlined in Appendix 1.



#### 4. **DEFINITIONS**

Complaint	Any genuine expression of dissatisfaction or concern about a service, staff member, or product
Principles of Natural Justice	These principles allow the appellant to tell their side of the story without bias. They grant the applicant's right to be heard and the right to a fair and impartial appeal process
Procedural Fairness	Procedural Fairness requires VETASSESS to respond to a request for appeal without undue delay and in a fair and equitable manner

### 5. ROLES AND RESPONSIBLITY

Who	Responsibility
Executive Director	Ultimate accountability for this policy rests with the Executive Director
Head, Vocational Education and Training (VET)	Responsible for implementing and disseminating this policy The Head of Vocational Education and Training, or their delegate, is also responsible to investigate, respond, and take appropriate action when a complaint is received
VET Compliance Coordinator	Responsible for the development, review, and monitoring of this policy

### 6. APPENDICES

Appendix 1 – Complaints Process, VET Services

### 7. SUPPORTING INFORMATION

N/A



#### 8. APPROVALS AND REVIEW DETAILS

Document title	P-03-VET-Complaints Policy	
Approved by	Executive Director, VETASSESS	
Signatures	Z	
Reviewed by	Head of Vocational Education and Training	
Related policies and procedures	P-01-VET-Appeals Policy P-02-VET-Assessment Policy	
Related legislation or references	Standards for Registered Training Organisations (RTO) 2015	
Next Review Date	10 May 2025	
Version	Notes/Changes	Date Approved
1.0	New Policy	July 2021
1.1	Included RPL Complaints content	September 2021
1.2	Updated Policy template and Policy Statement	February 2023
1.3	Update Policy statement 3.3 to include complaints about staff, assessors, third parties providing services on behalf of VETASSESS or another applicant. Update Head of VET title error.	March 2023
1.4	Yearly review – change of template. Edited for readability, no change in policy context.	May 2024



## **Appendix 1 – Complaints Process, VET Services**

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1	Lodge a complaint	Contact VETASSESS and submit your compliant through the <u>Online</u> <u>Feedback Form</u> on our website.
		Alternatively, you can contact us by phone <b>+61 3 9655 4801 or</b>
		speak to someone at our Office.
2	Acknowledgement	VETASSESS will acknowledge your complaint within <b>two working</b>
		days.
3	Investigation	VETASSESS will investigate the circumstances around your
		complaint. You may be contacted for further information at this
		point.
4	Response	VETASSESS will respond to your complaint in writing within <b>10</b>
	Parter and a state	working days.
5	External case review	To begin an external case review, you need to become a member of <b>Resolution Institute</b> , which will then allow access to their mediation, arbitration, and negotiation services. VETASSESS will work with Resolution Institute to ensure all disputes are resolved as quickly as possible.
		If the external case review process supports your case, VETASSESS will immediately implement any decision and/or action required and advise in writing of the outcomes. If the case review is upheld, then you will be given a written explanation including the reasons for that decision.
		The external case review will be conducted in accordance with the external parties' policies and procedures. See the contact details below for Resolution Institute.
		Contact Details
		Resolution Institute
		Suite 602, Level 6
		Tower B, Zenith Centre
		821–843 Pacific Highway
		Chatswood 2067
		NSW
		Phone: (02) 9251 3366 or free call 1800 651 650
		Email: infoaus@resolution.institute
		Website: <u>www.resolution.institute</u>
		VETASSESS' complaints and appeals process does not remove the participant's right to take further action under Australia's Consumer Protection Laws. This dispute resolution process does not circumscribe the participant's right to pursue other legal remedies



through the usual court processes if they feel unsatisfied with the
internal or external Complaints and Appeals process of VETASSESS.